

21908 U.S. PTO
10/618828
87/14/03

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| Assignee: | Sun Microsystems, Inc.<br>4150 Network Circle<br>Santa Clara, CA 95054<br>U.S.A. |
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1. ☒ Filing Fee

|                                    |    |      |    |            |            |
|------------------------------------|----|------|----|------------|------------|
| Total Claims                       | 36 | -20= | 16 | x \$18.00= | \$288.00   |
| Independent Claims                 | 4  | -3 = | 1  | x \$84.00= | \$84.00    |
| Multiple Dependent Claims          |    |      |    | Fee:       | \$0.00     |
| Basic Fee:                         |    |      |    |            | \$750.00   |
| Assignment Fee                     |    |      |    |            | \$40.00    |
| Sub-Total:                         |    |      |    |            | \$1,162.00 |
| Reduction by 50% for Small Entity: |    |      |    |            | \$0.00     |
| Total:                             |    |      |    |            | \$1,162.00 |

- ☒ Fee Authorizations for fees are enclosed.  
The Commissioner is hereby authorized to charge any other fees which any overpayment to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. 501505/5681-15100/RCK.
- One duplicate copy of this form is enclosed.**
2. ☒ Specification  
22 page(s) of specification; 6 page(s) of claims, 1 page(s) of abstract
3. ☒ Drawings  
Formal Figure(s) 1-10 on 10 sheet(s)
4. ☒ Oath or Declaration  
☒ Newly executed  
☐ Copy from a prior application (see 37 C.F.R. § 1.63(d)).  
Deletion of Inventor(s) (in continuation or divisional applications):

☐ Delete the following inventor(s) named in the prior non-provisional application:

☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.

5. ☐ The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

☐ Computer Readable copy

☐ Paper Copy (identical to computer copy)

☐ Statement verifying identity of above copies

8. ☒ Assignment Papers

9. Power of Attorney

☒ Is attached.

☐ The power of attorney appears in the original papers of the prior application.

☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

10. ☐ Information Disclosure Statement (IDS)

☐ Copies of IDS Citations

11. Amendments

☐ A preliminary amendment is enclosed.

☐ Cancel in this application claim(s) \_\_\_\_\_ before calculating the filing fee. At least one independent claim is retained for filing purposes.

☐ Amend the specification by inserting before the first line the sentence: \_\_\_\_\_.

12. ☒ Return Receipt Postcard

13. Small Entity Status

☐ A small entity statement is enclosed.

☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.

☐ Is no longer claimed.

14. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §§ 119(a)-(d)

15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time

16. ☐ Other:

Address all future correspondence to:



Robert C. Kowert  
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
P.O. Box 398  
Austin, Texas 78767  
Phone: (512) 853-8800 Fax: (512) 853-8801

Signature

Name

Registration No.

Date

39,255

July 14, 2003

Robert C. Kowert

**PATENT**  
**(5681-15100/P7636)**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: Unknown  
Filed: Herewith  
Inventor(s):  
Ajay Kumar  
Bala Dutt  
Venugopal Rao K  
Sankara R. Bhogi  
Srinivasan Kannan

Title: **TRANSACTION  
MANAGER FREEZING**

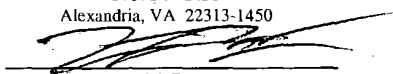
§ Examiner: Unknown  
§ Group/Art Unit: Unknown  
§ Atty. Dkt. No: 5681-15100  
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**CERTIFICATE OF EXPRESS MAIL**  
**UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EV 318246838 US  
DATE OF DEPOSIT: July 14, 2003

I hereby certify that this paper or fee is being deposited with the  
United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 C.F.R. §1.10 on the date indicated  
above and is addressed to:

Commissioner for Patents  
M/S Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

  
Derrick Brown

**FEE AUTHORIZATION**


Commissioner for Patents  
M/S Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons,  
Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 501505/5681-  
15100/RCK:

Fee: Filing Fee Amount: \$750.00  
Fee: Excess Claims Amount: \$372.00

Attorney Docket No.: 5681-15100  
Attorney Docket No.: 5681-15100

Respectfully submitted,



Robert C. Kowert  
Reg. No. 39,255

Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
P.O. Box 398  
Austin, TX 78767-0398  
(512) 853-8800  
Date: July 14, 2003